



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Thomas Marshall

SERIAL NO.:

09/942,112

FILED:

August 28, 2001

FOR:

IMPROVED JOINT FOR

BULLET TRAPS

ART UNIT:

3632

EXAMINER:

DOCKET NO.:

1136.ACT2.NP

CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, under 37 C.F.R. § 1.8 on the date indicated below and is addressed to Assistant.

addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

Randall B. Bateman

Date of Deposit

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed pursuant to 37 C.F.R. § 1.97 (b), within three months of the filing date of the application, within three months of the date of entry of the national stage set forth in § 1.491 in an international application or before the mailing date of a first Office action on the merits and requests that the references cited in the enclosed form PTO-1449 be made of record in the above-captioned application. While no representation is made that any of these references may be "prior

Assistant Commissioner for Patents

December 4, 2001

Page 2

art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references

is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent

office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the references listed, together with any other references which may have been

previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its

entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant

portion thereof) which was not previously submitted to, or cited by, the Patent Office is also

enclosed.

Since all listed references are either in the English language or are accompanied by a

translation into English, no concise explanation of relevance is required under 37 C.F.R. §

1.98(a)(3).

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0881.

DATED this 4 day of December, 2001.

Respectfully submitted,

Randall B. Bateman

Attorney for Applicant

Registration No. 37,774

Assistant Commissioner for Patents December 4, 2001 Page 3

MORRISS, BATEMAN, O'BRYANT & COMPAGNI, P.C. 5882 South 900 East, Suite 300 Salt Lake City, Utah 84121 (801) 685-2302 telephone (801) 685-2303 facsimile

PTC 1449	U.S. DEPARTMENT OF COMMERCE	Application Number	09/942,112		
•	PATENT AND TRADEMARK OFFIC	Filing Date	August 28, 2001		
LIST OF PRIOR ART CITED BY APPLICANT		First Named Inventor	Thomas Marshall		
		Group Art Unit	3632		
OIPE		Examiner Name			
8\	Sheet 1 of 2	Attorney Docket	1136.ACT2.NP		

UEL	ŀ	0	ZUUT	•	삥
			2001		Ĕ/

BD

5,400,692

3/1995

Bateman

U.S. PATENT DOCUMENTS DOCUMENT **EXAMINER** FILING DATE INITIALS NUMBER DATE NAME CLASS **SUBCLASS** IF APPROPRIATE 774,959 AA 11/1904 Tresidder ΑB 908,255 1/1911 Herms, et al. 1,035,908 AC 8/1912 Richardson AD 1,155,717 10/1915 Fouts ΑE 1,199,357 9/1916 Evans, Jr. AF 1,803,514 5/1931 Thomas AG 1,957,933 5/1934 Brandl AH2,054,665 9/1936 Тгасу 2,105,784 1/1938 ΑI Hagberg ΑJ 2,170,637 8/1939 Hatch, et al. ΑK 2,208,010 7/1940 Whitmore AL 2,209,580 7/1940 Sargent AM 2,212,982 8/1940 Drain, Jr., et al. AN 2,231,528 2/1941 Daniels ΑO 2,269,490 1/1942 Slick 2,350,827 ΑP 6/1944 Saulnier AR 2,412,242 12/1946 Beaud AS 2,628,388 2/1953 Poth 2,927,665 ΑT 3/1960 Hauf 2,932,860 ΑU 4/1960 Barth 3,423,891 1/1969 AVBurris ΑW 3,485,405 12/1969 Dement AX3,530,633 9/1970 Scott ΑY 3,969,855 7/1976 Lendi 4,028,856 6/1977 ΑZ Dlabee BA 4,254,600 3/1981 Zwissler 4,677,798 7/1987 BB**Phillips** BC 4,856,791 8/1989 McQuade

PTC 1449 · · · U.S. DEPARTMENT OF COMMERCE	Application Number	09/942,112		
PATENT AND TRADEMARK OFFICE	Filing Date	August 28, 2001		
LIST OF PRIOR ART CITED BY APPLICANT	First Named Inventor	Thomas Marshall		
	Group Art Unit	3632		
	Examiner Name			
Sheet 2 of 2	Attorney Docket	1136.ACT2.NP		

DEC 1 8 2001

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
 BE	127,432	4/1948	Australia			
BF	202,340	7/1956	Australia			
BG	832,754	10/1938	France			
ВН	849,829	12/1939	France			
ВІ	1.156.211	5/1958	France			
BJ	2461-069	1/1910	France			
ВК	227,342	10/1910	German			
BL	498,308	5/1930	German			
ВМ	50-207	1903-05				
BN	280,832	11/1927	British			
ВО	514,123	11/1930	German			
ВР	725,189	3/1955	British		,	
 BR	2021 170	11/1971	West Germany			
BS	597 451	4/1978	Switzerland			
ВТ	7700295	1/1977	Netherlands			
BU	DE 3655741-A	7/1992				
BV	877,489	4/1953	German			

OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.